

PATENT MAINTENANCE  
DIVISION

Practitioner's Desk 30750-1001

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☒ In re application of: Rodney R. Harrington, et al.  
 Application No.: 10/759,731 Group No.: 1724  
 Filed: 01/16/04 Examiner: Unknown  
 For: Pumps for Filtration Systems

2004 AUG 25 AM 11:57

☐ Patent\*:

Issued:

\*NOTE: Insert name(s) of inventor(s) and title also for patent. Where request is with respect to a maintenance fee payment also insert application number and filing date and add Box M. Fee to address.

Commissioner for Patents and Trademarks  
 Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND  
 (IMPROPER CHARGE OF DEPOSIT ACCOUNT)

## I. REFUND REQUEST

This is a request for a refund, with respect to the charge to Deposit Account  
134213 shown on the statement dated 06/2004 for the  
 above-identified

☒ application.☐ patent.

(check the following, if desired, and supply copy of statement)

☒ A copy of the monthly statement, in which the error referred to occurs, accompanies this request.

## CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

## MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Director, U.S. Patent & Trademark Office  
 Mail Stop 16  
 P. O. Box 1450  
 Alexandria, VA 22313-1450  
 Date: 8/19/04

## FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

Jeffrey D. Myers

(type or print name of person certifying)

(Request for Refund (Improper Charge of Credit Card Account) [19-4]—page 1 of 3)

## II. FEES CHARGED FOR WHICH REFUND REQUESTED

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AMOUNT OF  
REFUND  
REQUESTED

- ☐ Filing fee
- ☐ Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 C.F.R. § 1.16(a))

and/or

- ☒ Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 C.F.R. § 1.16(e))

\$65.00

- ☐ Extension of term

- ☐ first month
- ☐ second month
- ☐ third month
- ☐ fourth month
- ☐ fifth month

- ☐ Excess claims

- ☐ Issue fee

- ☐ Petition fee

- ☐ Patent maintenance fee

- ☐ first maintenance fee
- ☐ second maintenance fee
- ☐ third maintenance fee

- ☐ Patent maintenance fee surcharge

- ☐ Other

TOTAL REFUND REQUESTED

\$65.00

III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

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A Statement of Status as Small Entity (37 C.F.R. § 1.27(a)(1)-(3)) was filed in Application Serial No. 10/759,750 on 5/14/04 (attached) with a Response to File Missing Parts of Non-Provisional Application. We submitted Check No. 015411 in the amount of \$65.00 with the Missing Parts filing (attached). We therefore request a refund of the \$65.00 charged to Deposit Account No. 134213 on 6/1/04.

IV. MANNER OF REFUND

Please make refund by

- ☒ crediting Account No. 134213  
☐ crediting credit card as shown on the attached credit card information authorization form PTO-2038.

**WARNING:** Credit card information should not be included on this form as it may become public.

- ☐ refunding payment.

Reg. No.: 35,964

Tel. No.: ( 505 ) 998-1500

Customer No.: 005179

  
SIGNATURE OF PRACTITIONER

Jeffrey D. Myers  
(type or print name of practitioner)

P. O. Box 26927  
P.O. Address

Albuquerque, NM 87125-6927



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/759,750	01/16/2004	Rodney E. Herrington	30750-1001

005179  
PEACOCK MYERS AND ADAMS P C  
P O BOX 26927  
ALBUQUERQUE, NM 871256927

CONFIRMATION NO. 8349

## FORMALITIES LETTER

00000000012428708

Date Mailed: 04/22/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/04/2004 SDIRETA1 00000001 10759750

FILED UNDER 37 CFR 1.53(b)

01 FC:2051

65.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Adjustment date: 10/04/2004 SDIRETA1 10759750  
06/01/2004 WEDT01AFC:2051 65.00 OP

The oath or declaration is unsigned.  
01 FC:1051 \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

05/21/2004 SDENB0B1 00000026 10759750

01 FC:2051

65.00 OP

A copy of this notice **MUST** be returned with the reply.

RECEIVED

APR 26 2004

Peacock, Myers &amp; Adams, PC

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PEACOCK, MYERS & ADAMS, P.C.  
OPERATING ACCOUNT  
P.O. BOX 26827  
ALBUQUERQUE, NM 87125

BANK OF ALBUQUERQUE  
P.O. BOX 26148  
ALBUQUERQUE, NM 87125-6148  
660/1070

015411

5/17/2004

PAY TO THE  
ORDER OF

Commissioner for Patents

\$ 65.00

Sixty-Five and 00/100

DOLLARS

Commissioner for Patents

SN: 10/759,750 - Missing parts fee - MIOX

*[Signature]*  
AUTHORIZED SIGNATURE

MEMO

015411 107006606 7827209855

0000006500

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Deposit Account Statement

2004 AUG 25 AM 11: 57

Requested Statement Month:

USPTO  
Form  
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